

UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/929,879	08/14/2001	Jason Rudman	40655.5250

CONFIRMATION NO. 8695

FORMALITIES LETTER



OC000000006578829

 Snell & Wilmer LLP
 One Arizona Center
 400 E. Van Buren
 Phoenix, AZ 85004-2202

Date Mailed: 09/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$116.
 - \$36 for 2 total claims over 20.
 - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 956.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

 12/27/2001 SFELEKE1 00000061 192814 09929879
 01 FC:101 740.00 DP
 02 FC:102 84.00 DP
 03 FC:103 36.00 DP
 04 FC:105 130.00 DP



Section 1B
#14

CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. §1.8

I hereby certify that this correspondence and attached documents in response to a Notice to File Missing Parts of Application, pursuant to 37 C.F.R. §1.8, are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box MISSING PARTS, Assistant Commissioner for Patents and Trademarks, Washington D.C. 20231, on:

Date: 12/13/01

By: [Signature]
Signature of person depositing U.S. Mail

**IN THE UNITED STATES PATENT AND
TRADEMARK OFFICE**

PATENT

*TRANSMITTAL OF MISSING PARTS FOR
PATENT APPLICATION PURSUANT TO
37 C.F.R. §1.53(b)*

Applicant(s):	Rudman et al.	Atty Docket No.:	40655.5200
Serial No.:	09/929,879	Group Art Unit:	2161
Filed:	August 14, 2001	Examiner:	To Be Assigned
TITLE:	SYSTEM AND METHOD FOR RECONCILING EMPLOYMENT BENEFITS		

Box MISSING PARTS
Assistant Commissioner for Patents and Trademarks
Washington, D.C. 20231-9998

Sir:

In response to the Notice to File Missing Parts mailed on September 19, 2001 in the above-identified application, enclosed herewith are the missing parts needed to complete filing of the subject application.

I. Small Entity Status

- ☐ Small entity status under 37 C.F.R. §§1.9 and 1.27 is claimed.
☐ Verified statements to establish small entity status under 37 C.F.R. §§1.9 and 1.27 is enclosed.

II. Fee Calculation

Utility Application Filing Fee		=	\$740.00
(22 Claims total, 4 independent claims)			
2 Claims In Excess of 20	2 x	\$18.00	= \$36.00
1 Independent Claims in Excess of 3	1 x	\$84.00	\$84.00
		Subtotal	\$860.00

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If "small entity" status box above is checked,		N/A
enter half (1/2) of Subtotal and subtract		
<input type="checkbox"/> Surcharge as set forth in 37 C.F.R. §1.27	\$50.00	N/A
<input checked="" type="checkbox"/> Surcharge as set forth in 37 C.F.R. §1.16(e)	\$130.00	\$130.00
Subtotal		\$990.00

Fee Calculation: Request for Extension of Time pursuant to 37 C.F.R. §1.17(a)

<input checked="" type="checkbox"/> Missing parts filed within first month after due date – add	\$ 110.00 +	110.00
<input type="checkbox"/> Missing parts filed within second month after due date – add	\$ 390.00 +	N/A
<input type="checkbox"/> Missing parts filed within third month after due date – add	\$ 930.00 +	N/A
<input type="checkbox"/> Missing parts filed within fourth month after due date – add	\$1470.00 +	N/A
Subtotal		\$110.00

If one of the "small entity" boxes above is checked, enter half (1/2) of the Subtotal and subtract. - N/A

TOTAL FEES DUE \$1,100.00

III. Enclosures (check as applicable)

☒ Copy of Notice to File Missing Parts of Nonprovisional Application
☒ 2 Declarations
☒ Other: Acknowledgement Postcard

IV. Extra copies of this letter:

☒ for charge to deposit account No. 19-2814 (DEFICIENCY ONLY)

V. Manner of Payment

☒ Snell & Wilmer Check No. 519499 in the amount of \$1,066.00.
☐ No additional fee is required.

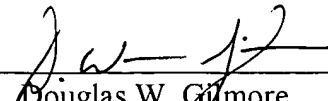
VI. Request for Filing Date Correction

☐ Applicant further requests that the filing date of this application be corrected to, and that a corrected filing receipt be issued pursuant to the Request for Correction of Filing Receipt Data filed _____. The application was filed by Express Mail pursuant to 37 C.F.R. §1.10 on such date, and is accordingly entitled to that filing date. A copy of Request for Correction to Filing Receipt Data as filed is attached.

This statement does NOT authorize charge of the issue fee. The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any **deficiency** in the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under 37 C.F.R. §§1.16-1.18 (**deficiency** only) now or hereafter relative to this Application and the resulting Official document under 37 C.F.R. §1.20, or credit any overpayment to Account No. 19-2814 **for which purpose a duplicate copy of this sheet is attached.**

Respectfully submitted,

Date: 12/13/2001

By: 
Douglas W. Gilmore
Reg. No. 46,658

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